

WAC 468-550-061 Triennial safety program audits conducted by the department.

(1) The department shall audit each RFGPTS's compliance with the agency safety plan at least once every three years in accordance with the requirements of the Washington state rail safety oversight program standard. The RFGPTS shall be given written notification of the audit scope and schedule at least thirty days in advance of the department's audit. Each audit shall be conducted in accordance with an audit checklist. Documentation which may be requested by the department as part of the audit includes, but is not limited to:

- (a) The RFGPTS operating rule book, bulletins, and procedures;
- (b) Operations and maintenance logs and records;
- (c) The RFGPTS maintenance manuals and procedures for vehicles, facilities, track and signals;
- (d) The RFGPTS procedures for identifying, documenting, evaluating, and correcting hazards;
- (e) The RFGPTS system design criteria and project engineering procedures for system modifications;
- (f) The RFGPTS annual internal audit reports for the previous three years;
- (g) The RFGPTS corrective action plans for reportable accidents and unacceptable hazardous conditions reported to the department during the previous three years;
- (h) APTA audit reports;
- (i) National Transportation Safety Board accident investigation reports, Federal Transit Agency investigation or audit reports, or peer review reports, if any, prepared during the previous three years.

(2) Upon the department's completion of the on-site portion of the triennial safety program audit, the audit team leader shall issue a final audit report following the process established in the Washington state rail safety oversight program standard. The final audit report shall contain the department audit team's findings and recommendations. The final audit report shall also include the department audit team's evaluation of the RFGPTS agency safety plan's compliance with the Washington state rail safety oversight program standard and a determination of whether it should be updated. The RFGPTS must address all findings and recommendations identified in the final report by following the requirements set forth in the Washington state rail safety oversight program standard.

[Statutory Authority: RCW 81.104.115, 49 U.S.C. § 5329 and 49 C.F.R. Part 674. WSR 18-13-031, § 468-550-061, filed 6/11/18, effective 6/11/18.]